



## QUALITY CONTROL & QUALITY ASSURANCE IN PHARMACEUTICS

**Raghuveer P. Rathod<sup>1</sup>, Dr. Sunil S. Jaybhaye<sup>2</sup>, Pooja P. Ambhure<sup>3</sup>**

<sup>1</sup>Student of Bachelor in Pharmacy, Faculty of Pharmacy, Dr. Babsaheb Ambedkar Technological University, Raigad, Lonere.

<sup>2</sup>Department of Quality Assurance, Faculty of Quality Assurance, Dr. Babsaheb Ambedkar Technological University, Raigad, Lonere.

<sup>3</sup>Department of Pharmaceutics, Faculty of Pharmaceutics, Dr. Babsaheb Ambedkar Technological University, Raigad, Lonere.

### ABSTRACT

Quality Assurance (QA) and Quality Control (QC) are essential components of the pharmaceutical and manufacturing industries that ensure the safety, efficacy, and consistency of products. Quality Assurance focuses on the overall process, emphasizing the prevention of errors through systematic procedures, documentation, and adherence to Good Manufacturing Practices (GMP). Quality Control, on the other hand, involves the testing and inspection of products to detect defects and ensure they meet the required specifications and standards. Together, QA and QC help maintain high product quality, regulatory compliance, and customer satisfaction. Their combined role ensures that only products meeting predefined quality criteria reach the market, promoting reliability and trust in healthcare and industrial sectors.



Fig.1

### INTRODUCTION OF QUALITY ASSURANCE (QA) AND QUALITY CONTROL (QC)

In the pharmaceutical and manufacturing industries, Quality Assurance (QA) and Quality Control (QC) play a vital role in maintaining product quality, safety, and effectiveness. Both are essential parts of the quality management system, but they focus on different aspects of the process. Quality Assurance (QA) is a systematic process that ensures quality is built into the product at every stage of manufacturing[1]. It involves planning, documentation, and procedural activities to prevent errors before they occur. QA focuses on the process-oriented aspects — ensuring that every step of production follows Good Manufacturing Practices (GMP). Control (QC), on the other hand, involves testing and inspection of the final product to ensure it meets required specifications and standards[2]. QC is product-



oriented and helps in identifying defects or deviations from quality standards after production. It ensures that only products meeting quality requirements are released for sale or use[3].

### Importance of QA and QC in Pharmaceutical Industry

QC ensure that every drug product reaching the patient is safe, pure, effective, and consistent. These systems build trust among consumers and regulatory authorities. Without QA and QC, the risk of defective or harmful products increases, leading to potential health hazards and loss of reputation for the manufacture[4]. They are also crucial for meeting the standards of agencies such as the World Health Organization (WHO), U.S. Food and Drug Administration (FDA), and Good Manufacturing Practice (GMP) guidelines[6].



**Fig 2. Improve quality of quality control and quality assurance**

### Quality Assurance (QA)

Quality Assurance is a process-oriented system designed to ensure that quality requirements will be fulfilled[7]. It includes all planned and systematic activities necessary to provide confidence that a product or service will satisfy given requirements for quality[8].

QA emphasizes the development and implementation of standard operating procedures (SOPs), Good Manufacturing Practices (GMP), and documentation systems to prevent defects from occurring[9].

### Key Functions of QA:

- Establishing and maintaining GMP standards.
- Ensuring proper documentation and validation.
- Conducting internal audits and self-inspections.
- Training of personnel on quality practices.
- Investigating deviations and implementing corrective actions.



**Fig 3. Quality Assurance (QA) – Stepwise Role**

#### 1. Planning Stage

Role of QA:

Establish quality objectives and standards for the process or product.

Prepare Quality Assurance Plan (QAP) and Standard Operating Procedures (SOPs). Ensure all materials, equipment, and personnel are qualified before production starts[10]. 膝 Example: Checking that raw materials have valid certificates of analysis before use.

#### 2. Raw Material Control

Role of QA:

Approve vendors and suppliers through audits. Ensure raw materials are sampled, tested, and released only after meeting specifications[11]. Verify storage conditions and labeling of materials[12].

膝 Example: QA ensures that each container is properly labeled with name, batch number, and status (“Approved”, “Under Test”, or “Rejected”).

#### 3. In-Process Quality Assurance (IPQA)

Role of QA:

Perform line clearance before starting any process.

Monitor each production stage (granulation, compression, coating, filling, etc.).

Verify in-process parameters such as temperature, weight variation, and uniformity.

Record deviations or abnormalities during production[13].

膝 Example: QA ensures tablets are compressed within weight limits and hardness requirements.

#### 4. Validation and Qualification

Role of QA:

Oversee and approve all process validation, equipment qualification, and cleaning validation.

Ensure consistent performance and reproducibility. Approve protocols and reports for validation activities.

膝 Example: Verifying that a sterilization process consistently achieves microbial reduction standards.

#### 5. Documentation Control

Role of QA:

Prepare, review, and approve SOPs, batch manufacturing records (BMRs), and batch packaging records (BPRs)[14].



Maintain data integrity (ALCOA+ principles — Attributable, Legible, Contemporaneous, Original, Accurate).

Control issuance, revision, and archival of documents. **膝** Example: QA signs and dates all controlled documents before they are used.

#### 6. Finished Product Testing and Release

Role of QA:

Review all analytical results and batch records.

Approve or reject finished products before distribution[15].

Ensure that products meet specifications and regulatory requirements.

**膝** Example: A batch is released only after QA reviews QC reports and ensures no deviations remain open.

#### 7. Change Control and Deviation Handling

Role of QA:

Evaluate any changes in materials, methods, equipment, or procedures.

Approve change control forms and ensure risk assessments are performed.

Investigate deviations and non-conformances[16].

**膝** Example: QA assesses the impact of changing a raw material supplier.

#### 8. Audits and Self-Inspections

Role of QA:

Conduct internal audits and prepare CAPA (Corrective and Preventive Action) plans.

Support regulatory inspections and ensure compliance with GMP[17].

Ensure follow-up actions are completed on time.

**膝** Example: QA audits production areas for GMP compliance.

#### 9. Training and Competency

Role of QA:

Prepare training schedules for all employees[18].

Maintain training records and evaluate effectiveness. Ensure only trained personnel perform critical activities.

**膝** Example: QA ensures staff are trained on new SOPs before implementation.

#### 10. Product Complaints, Recall, and Stability Studies

Role of QA:

Investigate customer complaints and identify root causes.

Coordinate product recalls when necessary[19].

Review stability study data to ensure product shelf-life. **膝** Example: QA initiates recall procedures if stability samples fail at 12 months[20].

#### 11. Continuous Improvement

Role of QA:

Analyze trends in deviations, complaints, and audit findings.

Implement Quality Risk Management (QRM).

Promote a quality culture across departments.

### Quality Control (QC) Guidelines

1. Sampling: Collect representative samples for testing from each batch.

2. Testing: Perform physical, chemical, and microbiological tests on raw materials, intermediates, and finished products.

3. Specifications: Follow standard specifications (e.g., pharmacopeia standards) for quality parameters[21].

4. Instrument Calibration: Ensure all instruments are regularly calibrated and maintained.

5. Documentation: Record all QC activities accurately and in real time.

6. Validation: Validate analytical methods to ensure reliability and consistency.

7. Reference Standards: Use authentic and approved reference materials for testing.





QC Role: Ensures purity of water and raw materials.

13. UV Cabinet / Inspection Chamber

Use: Used for visual inspection under UV light.

QC Role: Detects cracks, contaminants, or coating defects.

14. Stability Chamber

Use: Maintains controlled temperature and humidity.

QC Role: Tests stability and shelf life of products.

15. Autoclave

Use: Sterilizes instruments, media, and materials.

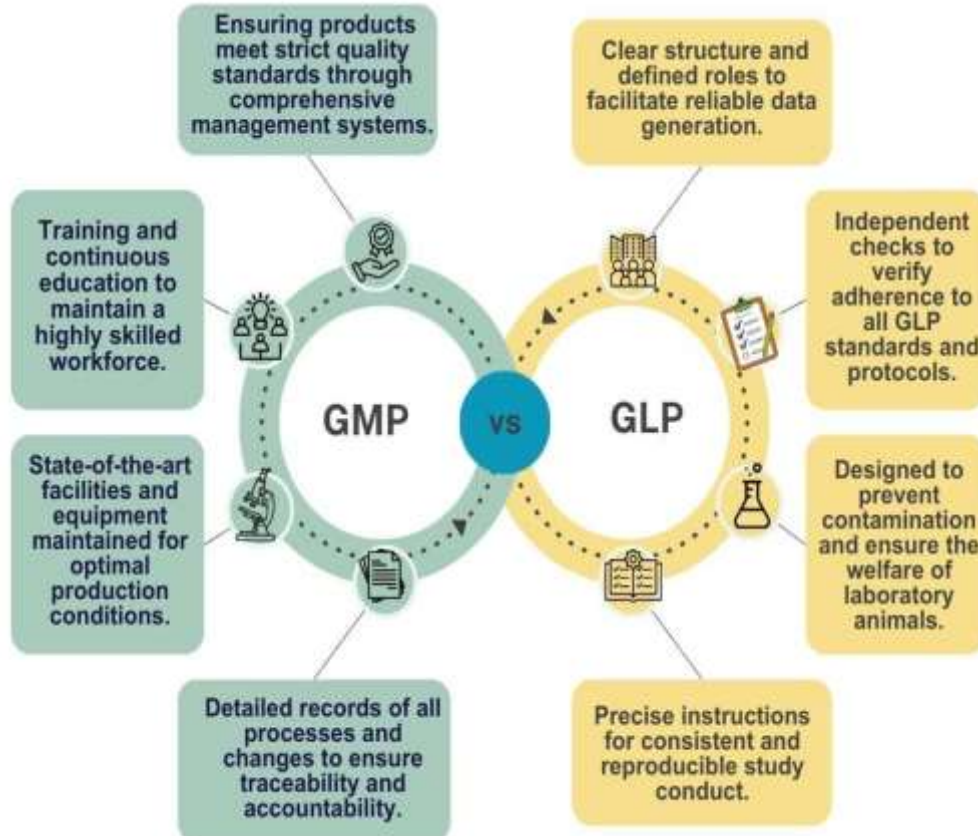
QC/QA Role: Ensures aseptic conditions in microbiological testing.

Definition of Good Laboratory Practice (GLP):

Good Laboratory Practice (GLP) is a set of principles that ensures the planning, performance, monitoring, recording, and reporting of laboratory studies are carried out in a consistent, reliable, and high-quality manner. It aims to ensure the integrity, accuracy, and traceability of laboratory data used for research and regulatory purposes.

Definition of GMP (Good Manufacturing Practice):

Good Manufacturing Practice (GMP) is a system of standards and guidelines that ensures products are consistently produced and controlled according to quality standards. It covers all aspects of production—from raw materials, equipment, and facilities to staff training and documentation—to ensure the safety, quality, and effectiveness of pharmaceutical and other manufactured products.





## CONCLUSION

Quality Assurance (QA) and Quality Control (QC) play vital roles in ensuring the safety, efficacy, and reliability of pharmaceutical products[26]. QA focuses on the entire process of manufacturing, aiming to prevent errors and maintain consistent quality through proper documentation, validation, and compliance with regulatory standards. QC, on the other hand, ensures that each product meets predefined specifications through systematic testing and inspection.

Together, QA and QC form the backbone of the pharmaceutical quality system. Their combined efforts guarantee that only high-quality, safe, and effective medicines reach patients. Continuous improvement, adherence to Good Manufacturing Practices (GMP), and strict monitoring of every production stage are essential to maintain public trust and protect human health[27].

### Conclusion of Quality Control

Quality Control (QC) plays a vital role in ensuring that products meet the required quality standards and specifications. It helps maintain consistency, safety, and reliability of products through systematic inspection, testing, and analysis at every stage of production.

By detecting and correcting defects early, QC reduces waste, saves costs, and builds customer trust. It ensures that only the best-quality materials and finished products reach the market. Overall, effective Quality Control enhances the reputation of an organization and supports continuous improvement in manufacturing and service processes.

## REFERENCES ON QUALITY CONTROL AND QUALITY ASSURANCE

1. WHO. *Quality Assurance of Pharmaceuticals: A Compendium of Guidelines and Related Materials*, World Health Organization, 2007.
2. ICH Q10. *Pharmaceutical Quality System*, International Council for Harmonisation, 2008.
3. FDA. *Guidance for Industry: Quality Systems Approach to Pharmaceutical CGMP Regulations*, U.S. Food and Drug Administration, 2006.
4. ISO 9001:2015. *Quality Management Systems – Requirements*, International Organization for Standardization.
5. WHO. *Good Manufacturing Practices (GMP) for Pharmaceutical Products*, WHO Technical Report Series, No. 986, Annex 2, 2014.
6. OECD. *Principles of Good Laboratory Practice (GLP)*, Organisation for Economic Cooperation and Development, 1998.
7. USP. *United States Pharmacopeia*, USP Convention, Rockville, MD.
8. *British Pharmacopoeia (BP). Quality Control Testing Procedures*, MHRA, UK.
9. *Indian Pharmacopoeia (IP)*, Government of India, Ministry of Health and Family Welfare, 2022.
10. Sharma, P.P. *How to Practice GMPs*, Vandana Publications, 2012.
11. Sinko, P.J. *Martin's Physical Pharmacy and Pharmaceutical Sciences, 7th Ed.*, Lippincott Williams & Wilkins, 2016.
12. Allen, L.V. *Pharmaceutical Dosage Forms and Drug Delivery Systems*, Lippincott Williams & Wilkins, 2018.
13. Bolton, S., and Bon, C. *Pharmaceutical Statistics: Practical and Clinical Applications*, CRC Press, 2010.
14. Aulton, M.E. *Pharmaceutics: The Science of Dosage Form Design*, Churchill Livingstone, 2013.
15. Jenkins, G., and Donald, A. *Quality Assurance and Total Quality Management*, Springer, 2005.
16. Montgomery, D.C. *Introduction to Statistical Quality Control*, Wiley, 2019.
17. Evans, J.R., and Lindsay, W.M. *Managing for Quality and Performance Excellence*, Cengage Learning, 2016.
18. Juran, J.M., and Godfrey, A.B. *Juran's Quality Handbook*, McGraw-Hill, 2010.
19. Crosby, P.B. *Quality is Free: The Art of Making Quality Certain*, McGraw-Hill, 1979.
20. Deming, W.E. *Out of the Crisis*, MIT Press, 1986.
21. Feigenbaum, A.V. *Total Quality Control*, McGraw-Hill, 1991.
22. Garvin, D.A. *Managing Quality: The Strategic and Competitive Edge*, Free Press, 1988.
23. Goetsch, D.L., and Davis, S. *Quality Management for Organizational Excellence*, Pearson, 2016.
24. WHO. *Good Practices for Quality Control Laboratories*, WHO Technical Report Series, No. 957, Annex 1, 2010.
25. WHO. *Good Laboratory Practice (GLP): Training Manual*, 2009.
26. Parikh, D.M. *Handbook of Pharmaceutical Granulation Technology*, CRC Press, 2010.
27. Nash, R.A., and Wachter, A.H. *Pharmaceutical Process Validation*, CRC Press, 2016.